



Topological Conjugation Classes of Tightly Transitive Subgroups of $\text{Homeo}_+(\mathbb{S}^1)$

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Received: 24 December 2019 / Revised: 18 September 2020 / Accepted: 14 October 2020 /
Published online: 2 November 2020
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Abstract

Let $\text{Homeo}_+(\mathbb{S}^1)$ denote the group of orientation preserving homeomorphisms of the circle \mathbb{S}^1 . A subgroup G of $\text{Homeo}_+(\mathbb{S}^1)$ is tightly transitive if it is topologically transitive and no subgroup H of G with $[G : H] = \infty$ has this property; is almost minimal if it has at most countably many nontransitive points. In the paper, we determine all the topological conjugation classes of tightly transitive and almost minimal subgroups of $\text{Homeo}_+(\mathbb{S}^1)$ which are isomorphic to \mathbb{Z}^n for any integer $n \geq 2$.

Keywords Circle homeomorphism · Topological conjugation · Topologically transitive

1 Introduction and Preliminaries

1.1 Background

Given a group G and a topological space X , one basic question is to classify all the continuous actions of G on X up to topological conjugations. Generally, in order to get satisfactory results, one should make some assumptions on the topology of X , the algebraic structure of G , and the dynamics of the action. Poincaré's classification theorem for minimal orientation preserving homeomorphisms on the circle \mathbb{S}^1 is the first celebrated result toward the answer to this question; the rotation numbers are complete invariants for such systems (see [12]). In [5], Ghys classified all orientation preserving minimal group actions on the circle using bounded Euler class; this extended the previous theorem due to Poincaré (see also [7]).

Minimality and topological transitivity can be viewed as two kinds of irreducibility for nonlinear group actions. Inspired by the previous works of Poincaré and Ghys, it is natural to

This work is supported by NSFC (Nos. 11771318, 11790274).

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study the classification of topologically transitive group actions on the circle. However, the phenomena of topological transitivity are much richer than that of minimality; we have to make stronger assumptions on the algebraic structure of acting groups and on the dynamics of the action to get interesting results. We should note that if we consider an orientation preserving minimal action on the circle by an amenable group, the action must factor through a commutative group action by rotations; this is an easy conclusion of the existence of invariant probability measures on the circle. Contrary to the case of minimal actions, many solvable groups possess faithful topological transitive actions on the real line \mathbb{R} (see [13]), which corresponds to the actions on \mathbb{S}^1 with a global fixed point.

1.2 Notions and Notations

Denote by \mathbb{S}^1 the unit circle in the complex plane \mathbb{C} . In this paper, we want to study the classification of topologically transitive orientation preserving faithful group actions on \mathbb{S}^1 . This is essentially the same as determining the conjugation classes of topologically transitive subgroups of $\text{Homeo}_+(\mathbb{S}^1)$. Before the statement of the main results, let us recall some notions.

Let X be a topological space and $\text{Homeo}(X)$ be the homeomorphism group of X . Then for a subgroup G of $\text{Homeo}(X)$, the pair (X, G) is called a *dynamical system*. The *orbit* of $x \in X$ under G is $Gx = \{gx : g \in G\}$. For a subset $A \subseteq X$, define $GA = \bigcup_{x \in A} Gx$. A subset $A \subseteq X$ is called *G-invariant* if $GA = A$. If A is G -invariant, denote $G|_A$ the restriction of the action of G to A . We call $x \in X$ a *n-periodic point* of G if the orbit Gx consists of n elements. For a homeomorphism $f \in G$, a point $x \in X$ is called a *periodic point* of f if x is a period point of the cyclic group $\langle f \rangle$ generated by f . Particularly if $Gx = \{x\}$, then we call x a *fixed point* of G . Denote by $P(G)$ and $\text{Fix}(G)$ the sets of periodic points and fixed points of G respectively; denote by $P(f)$ and $\text{Fix}(f)$ the sets of periodic points and fixed points of f respectively.

For a dynamical system (X, G) , G is said to be *topologically transitive* if for any two nonempty open subsets U and V of X , there is some $g \in G$ such that $g(U) \cap V \neq \emptyset$. If there is some point $x \in X$ such that the orbit Gx is dense in X then G is said to be *point transitive* and such x is called a *transitive point*. If x is not a transitive point, then it is said to be a *nontransitive point*. It is well known that if G is countable and X is a Polish space without isolated points, the notions of topological transitivity and point transitivity are the same. G is called *minimal* if every point of X is a transitive point. A homeomorphism f of X is said to be *topologically transitive* (resp. *minimal*) if the cyclic group $\langle f \rangle$ is topologically transitive (resp. minimal).

Let \mathbb{S}^1 denote the circle. Denote by $\text{Homeo}_+(\mathbb{S}^1)$ the group of all orientation preserving homeomorphisms of \mathbb{S}^1 . Two subgroups G and H of $\text{Homeo}_+(\mathbb{S}^1)$ are said to be *topologically conjugate* (or *conjugate* for short), if there is a homeomorphism $\phi \in \text{Homeo}_+(\mathbb{S}^1)$ such that $\phi G \phi^{-1} = H$. If G is topologically transitive and no subgroup F of G with $[G : F] = \infty$ is topologically transitive, then G is said to be *tightly transitive*; G is said to be *almost minimal* if there are at most countably many nontransitive points of G .

1.3 Description of the Main Result

In [14], it determined all topological conjugation classes of tightly transitive almost minimal subgroups of $\text{Homeo}_+(\mathbb{R})$ which are isomorphic to \mathbb{Z}^n for any integer $n \geq 2$. In this paper, we extend this result to group actions on the circle \mathbb{S}^1 ; that is, we determine all topological

conjugation classes of tightly transitive and almost minimal subgroups of $\text{Homeo}_+(\mathbb{S}^1)$ which are isomorphic to \mathbb{Z}^n for any integer $n \geq 2$. Roughly speaking, all the conjugation classes are parameterized by a combination of orbits of irrational numbers under the action of $GL(2, \mathbb{Z})$ by Möbius transformations and orbits of \mathbb{Z}^n under some specified affine actions (see Theorem 7.1). In fact, the Poincaré’s classification theorem indicates that, for minimal subgroups of $\text{Homeo}_+(\mathbb{S}^1)$ which is isomorphic to \mathbb{Z} , all conjugation classes are parameterized by the orbits of irrationals under the \mathbb{Z} action on \mathbb{R} generated by the unit translation. Then we compare these two classification theorems in the following tabular presentation. (“TT” and “AM” denote the properties of tight transitivity and almost minimality respectively; $\mathcal{O}(\dots)$ denotes the orbits of \dots).

	Poincaré’s classification	The present classification
Spaces	\mathbb{S}^1	\mathbb{S}^1
Groups	\mathbb{Z}	\mathbb{Z}^n ($n \geq 2$)
Dynamics	Minimality	TT & AM
Invariants	$\mathcal{O}(\text{integer translations})$	$\mathcal{O}(\text{Möbius \& affine actions})$

Here we should remark that the subgroups of $\text{Homeo}_+(\mathbb{S}^1)$ constructed in the paper do not occur in $\text{Diff}^{1+\varepsilon}(\mathbb{S}^1)$ for sufficiently large $\varepsilon \in (0, 1)$ (see e.g. [3, 11]), and we do not plan to discuss the smooth realization of these groups in the present paper. Also, it may be worthwhile to compare the actions of \mathbb{Z}^n with that of lattices in higher rank simple Lie groups (higher rank lattices) on the circle. People believe that there are no interesting actions for such lattices (see e.g. [1, 6, 10, 15]). Certainly, the following question is left:

Questions 1.1 *For each finitely generated torsion free nilpotent group Γ , determine the topological conjugation classes of tightly transitive and almost minimal subgroups G of $\text{Homeo}_+(\mathbb{S}^1)$ which is isomorphic to Γ .*

We recommend the readers to consult [2, 4, 8] for the discussions about nilpotent group actions on one-manifolds.

The paper is organized as follows. In Sect. 2, we give some auxiliary results which will be used in the following sections. In Sect. 3, we recall and prove some results around group actions on \mathbb{R} , which is the starting point for further considerations. In Sect. 4, we construct a class of tightly transitive and almost minimal subgroups $G_{\alpha, n, k, g, f}$ of $\text{Homeo}_+(\mathbb{S}^1)$, which are isomorphic to \mathbb{Z}^n and parameterized by five indices α, n, k, g, f . In Sect. 5, we show that every tightly transitive and almost minimal subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ which is isomorphic to \mathbb{Z}^n is topologically conjugate to some $G_{\alpha, n, k, g, f}$. In Sect. 6, we determine all the topological conjugation classes of these $G_{\alpha, n, k, g, f}$. In the last section, we restate the classification theorem in terms of matrix, with respect to a fixed standard basis of $G_{\alpha, n}$.

2 Auxiliary Results

The following is the well-known Poincaré’s classification theorem (see e.g. [9, Chap. 11]).

Theorem 2.1 *Let $f : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ be an orientation preserving homeomorphism.*

(1) *If f has a periodic point, then all periodic orbits have the same period.*

- (2) If f has no periodic point, then there is a continuous surjection $\phi : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ and a minimal rotation $T : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ with $\phi f = T\phi$. Moreover, the map ϕ has the property that for each $z \in \mathbb{S}^1$, $\phi^{-1}(z)$ is either a point or a closed sub-interval of \mathbb{S}^1 .

We collect the following useful properties for the subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ with periodic orbits, which can be seen in [11] Exercise 2.1.2.

Proposition 2.2 *Let H be a subgroup of $\text{Homeo}_+(\mathbb{S}^1)$. If H has a periodic orbit, then*

- (1) *the set $P(H)$ of periodic points is a compact subset of \mathbb{S}^1 ;*
 (2) *all periodic orbits have the same cardinality.*

Let f be a homeomorphism on a topological space X . Recall that a point x in X is called a *wandering point* of f , if there exists an open neighborhood U of x such that the sets $f^n(U)$, $n \in \mathbb{Z}$, are pairwise disjoint. We use $W(f, X)$ to denote the set of all wandering points. Then $W(f, X)$ is an f invariant open set. The following lemma is direct.

Lemma 2.3 *Let f and g be homeomorphisms on a topological space X such that $fg = gf$. Then (1) $g(W(f, X)) = W(f, X)$; (2) if $x \in X$ is an n periodic point of f , then $g(x)$ is also an n periodic point of f .*

Lemma 2.4 *Let H be a subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ and $f \in \text{Homeo}_+(\mathbb{S}^1)$. If f commutes with each element of H , and $P(H) \neq \emptyset$, $P(f) \neq \emptyset$, then $P(H) \cap P(f) \neq \emptyset$ and the group $\langle f, H \rangle$ has a periodic orbit.*

Proof If $P(H) \subseteq P(f)$, then the first part of the conclusion holds. So we may assume that there is some $x \in P(H) \setminus P(f)$. Take a maximal interval $(a, b) \subseteq \mathbb{S}^1 \setminus P(f)$ with $x \in (a, b)$. Since $P(f) = \text{Fix}(f^p)$ for some positive integer p , by Theorem 2.1, we have $a, b \in \text{Fix}(f^p)$. So, either $\lim_{n \rightarrow \infty} f^{np}(x) = a$ or $\lim_{n \rightarrow \infty} f^{-np}(x) = a$. We may assume that $\lim_{n \rightarrow \infty} f^{np}(x) = a$. By Lemma 2.3 (2), we have $f^{np}(x) \in P(H)$ for all $n \in \mathbb{Z}$. By Proposition 2.2, $P(H)$ is compact. Thus $a \in P(H)$. Hence $P(H) \cap P(f) \neq \emptyset$.

Let $y \in P(H) \cap P(f)$. Then $Hy = \{h_0(y), h_1(y), \dots, h_{n-1}(y)\}$, for some $h_0, \dots, h_{n-1} \in H$, and $f^k(y) = y$, for some positive integer k . Since f commutes with H , we have

$$\langle f, H \rangle y = \left\{ f^m h(y) : m \in \mathbb{Z}, h \in H \right\} = \bigcup_{i=0}^{n-1} \bigcup_{j=0}^{k-1} \{f^j h_i(y)\},$$

which is finite. Hence the group $\langle f, H \rangle$ has a periodic orbit. \square

Proposition 2.5 *Let G be a subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ which is isomorphic to \mathbb{Z}^n with $n \geq 2$, tightly transitive and almost minimal. Then there is a finite G -orbit $\{x_1, \dots, x_k\}$ with $k \geq 1$.* \square

Proof By Lemma 2.4, it suffices to show that $P(g) \neq \emptyset$ for any $g \in G$. Otherwise, let $f \in G$ be such that $P(f) = \emptyset$. Then there is a continuous surjection $\phi : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ and a minimal rotation $T : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ with $\phi f = T\phi$ by Theorem 2.1. If ϕ is a homeomorphism, then f is minimal which contradicts to the tight transitivity of G . Thus $W(f, \mathbb{S}^1) \neq \emptyset$ and $\mathbb{S}^1 \setminus W(f, \mathbb{S}^1)$ is homeomorphic to the Cantor set. Since $\mathbb{S}^1 \setminus W(f, \mathbb{S}^1)$ is G -invariant by Lemma 2.3 (1), each point of which is nontransitive. This contradicts the almost minimality of G . \square

The following lemma is well known. We afford a proof here for convenience of the readers.

Lemma 2.6 *Let T be a minimal rotation of \mathbb{S}^1 and $f \in \text{Homeo}(\mathbb{S}^1)$. If f commutes with T , then f is a rotation of \mathbb{S}^1 .*

Proof Let $T : \mathbb{S}^1 \rightarrow \mathbb{S}^1, x \mapsto xe^{i2\pi\theta}$, where θ is irrational. Since $fT = Tf$, $fT^n(1) = T^n f(1)$ for every integer n ; that is $f(e^{i2\pi n\theta}) = e^{i2\pi n\theta} f(1)$ for each n . Since $\{e^{i2\pi n\theta} | n \in \mathbb{Z}\}$ is dense in \mathbb{S}^1 , we have $f(x) = f(1)x$ for any $x \in \mathbb{S}^1$, by the continuity of f . \square

For $a \in \mathbb{R}$, denote by L_a the translation by a on \mathbb{R} , i.e., $L_a(x) = x + a$ for $x \in \mathbb{R}$. The following proposition can be deduced from Lemma 2.6 directly by quotienting the orbits of L_1 .

Proposition 2.7 *Let α be an irrational number and $f \in \text{Homeo}_+(\mathbb{R})$. If f commutes with L_1 and L_α simultaneously, then $f = L_\beta$ for some $\beta \in \mathbb{R}$.*

Lemma 2.8 ([14], Lemma 2.2) *Let H be a topologically transitive subgroup of $\text{Homeo}_+(\mathbb{R})$ which is isomorphic to \mathbb{Z}^2 . Then H is minimal.*

Lemma 2.9 *Let H be a topologically transitive subgroup of $\text{Homeo}_+(\mathbb{R})$ which is isomorphic to \mathbb{Z}^n . Then there exists a nonempty open interval (a, b) such that the restriction to (a, b) of $F = \{h \in H : h(a, b) = (a, b)\}$ is minimal and the set of nontransitive points of H is $\mathbb{R} \setminus (\bigcup_{h \in H} h(a, b))$, where a may be $-\infty$ and b may be $+\infty$.*

Proof We prove the lemma by induction on the rank of H . Firstly, we have $n \geq 2$, by the fact that H is topologically transitive. By Lemma 2.8, if $n = 2$, then take $(a, b) = (-\infty, +\infty)$. So we may assume that $n \geq 3$ and the action of H is not minimal. Suppose that the assertions hold for any topologically transitive subgroup of $\text{Homeo}_+(\mathbb{R})$ which is isomorphic to \mathbb{Z}^m , with $m < n$. Let $x_0 \in \mathbb{R}$ such that $\overline{Hx_0} \neq \mathbb{R}$. Let (a_1, b_1) be a connected component of $\mathbb{R} \setminus \overline{Hx_0}$ and let $F_1 = \{h \in H : h(a_1, b_1) = (a_1, b_1)\}$.

If $F_1|_{(a_1, b_1)}$ is minimal, then F_1 and (a_1, b_1) satisfy the first requirement. Suppose that it is not minimal. For any $h \in H$, either $h(a_1, b_1) = (a_1, b_1)$ or $h(a_1, b_1) \cap (a_1, b_1) = \emptyset$. Thus the restriction of F_1 to (a_1, b_1) is topologically transitive. Since H is topologically transitive, there exists $f \in H$ such that $f(a_1, b_1) \cap (a_1, b_1) = \emptyset$. Furthermore, because f preserves the orientation of \mathbb{R} , we have $f^k(a_1, b_1) \cap (a_1, b_1) = \emptyset$, for any $k \in \mathbb{Z}, k \neq 0$. Thus $[H : F_1] = \infty$. Take an orientation preserving homeomorphism $\varphi : (a_1, b_1) \rightarrow \mathbb{R}$. Then $\varphi F_1|_{(a_1, b_1)} \varphi^{-1}$ is a topologically transitive subgroup of $\text{Homeo}_+(\mathbb{R})$ which is isomorphic to \mathbb{Z}^l , for some $l < n$. By induction hypothesis, there exists a nonempty open interval (a_2, b_2) such that the assertions in the lemma hold for $\varphi F_1|_{(a_1, b_1)} \varphi^{-1}$. Take $(a, b) = \varphi^{-1}(a_2, b_2) \subseteq (a_1, b_1)$. Then the restriction to (a, b) of $F = \{h \in H : h(a, b) = (a, b)\}$ is minimal.

For any topologically transitive point $x \in \mathbb{R}$ of H , there exists $h \in H$ such that $h(x) \in (a, b)$. Thus $x \in h^{-1}(a, b) \subseteq \bigcup_{h \in H} h(a, b)$. Noting that $\bigcup_{h \in H} h(a, b)$ is H -invariant and contains topologically transitive points, we have $\overline{\bigcup_{h \in H} h(a, b)} = \mathbb{R}$. Since $F|_{(a, b)}$ is minimal, Hy is dense in $\bigcup_{h \in H} h(a, b)$, for any $y \in \bigcup_{h \in H} h(a, b)$. Hence Hy is dense in \mathbb{R} . Therefore, $\bigcup_{h \in H} h(a, b)$ is the very set of transitive points. Consequently, the set of nontransitive points is $\mathbb{R} \setminus (\bigcup_{h \in H} h(a, b))$. \square

Lemma 2.10 *Let G be a topologically transitive and almost minimal subgroup of $\text{Homeo}_+(\mathbb{R})$ which is isomorphic to \mathbb{Z}^n . For any subgroup H of G , if H is topologically transitive, then it is also almost minimal.*

Proof Since H is topologically transitive, by Lemma 2.9, there exists an interval (a, b) such that the restriction to (a, b) of $F = \{h \in H : h(a, b) = (a, b)\}$ is minimal, and the set of nontransitive points of H is $K := \mathbb{R} \setminus (\bigcup_{h \in H} h(a, b))$. Since G is commutative, K is a G -invariant closed set. Thus K is contained in the set of nontransitive points of G which is countable by the almost minimality of G . Hence H is also almost minimal. \square

3 Construction and Properties of $G_{\alpha, n}$

In [14], Shi and Zhou classified all the tightly transitive and almost minimal subgroups of $\text{Homeo}_+(\mathbb{R})$, which are isomorphic to \mathbb{Z}^n for any integer $n \geq 2$. These results are the starting point of the proof of the main theorem in this paper.

We first review the main results in [14]. Let α be an irrational number in $(0, 1)$ and $n \geq 2$ be an integer. Let $a, b \in \mathbb{R}$. Denote by $\langle L_a, L_b \rangle$ the subgroup of $\text{Homeo}_+(\mathbb{R})$ generated by L_a and L_b .

We define $G_{\alpha, n}$ inductively. Let $G_{\alpha, 2} = \langle L_1, L_\alpha \rangle$. Suppose that we have constructed $G_{\alpha, n}$ for $n \geq 2$. Then we construct $G_{\alpha, n+1}$ as follows. Choose a homeomorphism h from \mathbb{R} to $(0, 1)$. For example we can take

$$h(x) = \frac{1}{\pi} \left(\frac{\pi}{2} + \arctan x \right) \quad \text{for } x \in \mathbb{R}.$$

Then h induce a morphism of $\text{Homeo}_+(\mathbb{R})$ defined by

$$h(\sigma)(x) = \begin{cases} h\sigma h^{-1}(x - i) + i, & x \in (i, i + 1) \text{ and } i \in \mathbb{Z}, \\ x, & x \in \mathbb{Z}, \end{cases} \quad (3.1)$$

for $\sigma \in \text{Homeo}_+(\mathbb{R})$. Here we use the same symbol h to represent the morphism, which will not lead to confusion from the text. For $n \in \mathbb{N}^+$, denote

$$h^{(n)}(\sigma) := h(h(\cdots (h(\sigma)) \cdots)).$$

By the definition, we immediately have the following relation (Fig. 1).

Lemma 3.1 For any $\sigma_1, \sigma_2 \in \text{Homeo}_+(\mathbb{R})$,

$$h(\sigma_1\sigma_2) = h(\sigma_1)h(\sigma_2), \text{ and } h(\sigma_1)L_1 = L_1h(\sigma_1).$$

Furthermore, for any $m, n, k \in \mathbb{N}$, $m > n$,

$$h^{(m)}(\sigma_1)h^{(n)}(L_1^k) = h^{(n)}(L_1^k)h^{(m)}(\sigma_1).$$

Proof For $x \in \mathbb{Z}$, $h(\sigma_1)h(\sigma_2)(x) = h(\sigma_1)(x) = x = h(\sigma_1\sigma_2)(x)$ and

$$h(\sigma_1)L_1(x) = h(\sigma_1)(x + 1) = x + 1 = L_1(x) = L_1h(\sigma_1)(x).$$

For $x \in (i, i + 1)$, $i \in \mathbb{Z}$,

$$\begin{aligned} h(\sigma_1)h(\sigma_2)(x) &= h(\sigma_1)(h\sigma_2 h^{-1}(x - i) + i) \\ &= h\sigma_1 h^{-1}((h\sigma_2 h^{-1}(x - i) + i) - i) + i \\ &= h\sigma_1\sigma_2 h^{-1}(x - i) + i \\ &= h(\sigma_1\sigma_2)(x), \end{aligned}$$

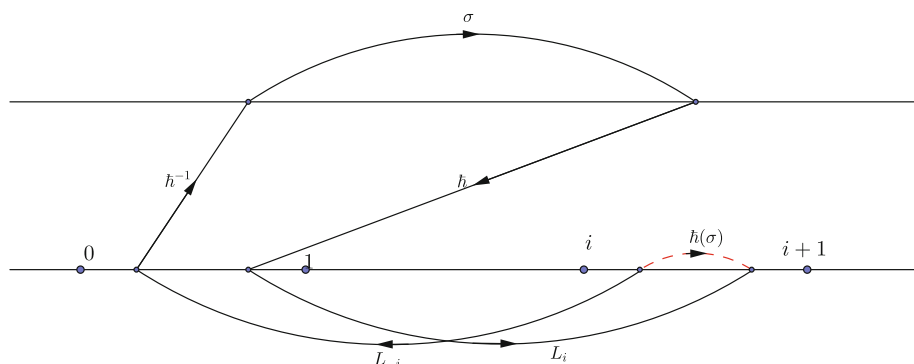


Fig. 1 Definition of $h(\sigma)$

and

$$\begin{aligned} \bar{h}(\sigma_1)L_1(x) &= \bar{h}(\sigma_1)(x+1) = \bar{h}\sigma_1\bar{h}^{-1}(x-i) + i+1 \\ &= \bar{h}(\sigma_1)(x) + 1 = L_1\bar{h}(\sigma_1)(x). \end{aligned}$$

The last assertion follows from

$$\begin{aligned} \bar{h}^{(m)}(\sigma_1)\bar{h}^{(n)}(L_1^k) &= \bar{h}^{(n)}\left(\bar{h}^{(m-n)}(\sigma_1)L_1^k\right) \\ &= \bar{h}^{(n)}\left(L_1^k\bar{h}^{(m-n)}(\sigma_1)\right) \\ &= \bar{h}^{(n)}(L_1^k)\bar{h}^{(m)}(\sigma_1). \end{aligned}$$

□

Let $G_{\alpha,n+1}$ be the group generated by $\{\bar{h}(\sigma) : \sigma \in G_{\alpha,n}\} \cup \{L_1\}$. Then the constructed $G_{\alpha,n}$ has the following properties.

Lemma 3.2 *Let $\text{intr}G_{\alpha,n}$ denote the set of nontransitive points of $G_{\alpha,n}$. Then*

- (1) $G_{\alpha,n}$ is tightly transitive and is isomorphic to \mathbb{Z}^n .
- (2) $\text{intr}G_{\alpha,2} = \emptyset$, $\text{intr}G_{\alpha,3} = \mathbb{Z}$, and for $n \geq 4$,

$$\begin{aligned} \text{intr}G_{\alpha,n} &= \left(\bigcup_{i \in \mathbb{Z}} \bar{h}(\text{intr}G_{\alpha,n-1}) + i \right) \cup \mathbb{Z} \\ &= \mathbb{Z} \cup \bigcup_{i_1, \dots, i_{n-2} \in \mathbb{Z}} \{ \bar{h}(\bar{h}(\dots(\bar{h}(i_1) + i_2) \dots) + i_{n-3}) + i_{n-2} \}. \end{aligned}$$

- (3) Suppose that (a, b) is a connected component of $\mathbb{R} \setminus \text{intr}G_{\alpha,n}$ and $F = \{\sigma \in G_{\alpha,n} : \sigma((a, b)) = (a, b)\}$. Then $F|_{(a,b)}$ is minimal and isomorphic to \mathbb{Z}^2 . (Such an open interval (a, b) is called a minimal interval.) Precisely, the minimal intervals (a, b) of $G_{\alpha,n}$ are of the following form:

- a) if $n = 2$, then $(a, b) = (-\infty, +\infty)$;
- b) if $n = 3$, then $(a, b) = (i, i+1)$, for some $i \in \mathbb{Z}$;
- c) if $n \geq 4$, then $(a, b) = \bar{h}(\bar{h}(\dots(\bar{h}(i_1, i_1+1) + i_2) \dots) + i_{n-3}) + i_{n-2}$, for some $i_1, i_2, \dots, i_{n-2} \in \mathbb{Z}$.

Suppose that α and β are irrationals in $(0, 1)$. We say that α is *equivalent* to β if there exist $m_1, n_1, m_2, n_2 \in \mathbb{Z}$ with $|m_1 n_2 - n_1 m_2| = 1$ such that $\beta = \frac{m_1 + n_1 \alpha}{m_2 + n_2 \alpha}$. The following theorem completes the classification of tightly transitive and almost minimal subgroups of $\text{Homeo}_+(\mathbb{R})$, which are isomorphic to \mathbb{Z}^n with $n \geq 2$.

Theorem 3.3 *The following assertions hold:*

- (1) *For any $n \geq 2$ and irrationals $\alpha, \beta \in (0, 1)$, the subgroup $G_{\alpha, n}$ is conjugate to $G_{\beta, n}$ by an orientation preserving homeomorphism if and only if α is equivalent to β .*
- (2) *Let G be a tightly transitive and almost minimal subgroup of $\text{Homeo}_+(\mathbb{R})$ which is isomorphic to \mathbb{Z}^n for some $n \geq 2$. Then G is conjugate to $G_{\alpha, n}$ by an orientation preserving homeomorphism for some irrational $\alpha \in (0, 1)$.*

From the construction of $G_{\alpha, n}$, we can define a basis $\{e_1, \dots, e_n\}$ of $G_{\alpha, n}$ as a \mathbb{Z} module. For $G_{\alpha, 2}$, we take $e_1 = L_1$ and $e_2 = L_\alpha$. Generally, for $n \geq 3$, take

$$\begin{aligned} e_1 &= \hbar^{(n-2)}(L_1), e_2 = \hbar^{(n-2)}(L_\alpha), \\ e_3 &= \hbar^{(n-3)}(L_1), \dots, \\ e_{n-1} &= \hbar^{(1)}(L_1), e_n = L_1. \end{aligned}$$

The basis $\{e_1, \dots, e_n\}$ so defined is called the *standard basis* of $G_{\alpha, n}$.

Now we prove a technical lemma.

Lemma 3.4 *Suppose that $\{e_1, \dots, e_n\}$ is the standard basis of $G_{\alpha, n}$, where $n \geq 2$ and α is an irrational number in $(0, 1)$. If $f \in \text{Homeo}_+(\mathbb{R})$ commutes with every element of $G_{\alpha, n}$ and $f \neq \text{id}$, then there exists some $i \in \{1, 2\}$ such that the group $\langle f, e_i, e_3, \dots, e_n \rangle$ is also tightly transitive, almost minimal and isomorphic to \mathbb{Z}^n .*

Proof For $n = 2$, we know that $f = L_\beta$ for some $\beta \in \mathbb{R} \setminus \{0\}$ by Proposition 2.7. If $\beta \in \mathbb{Q}$, then $\langle L_\beta, L_\alpha \rangle$ is tightly transitive and minimal. If β is irrational, then $\langle L_1, L_\beta \rangle$ is tightly transitive and minimal. Thus the conclusion holds for $G_{\alpha, 2}$.

For $n \geq 3$, by Lemma 3.2, there is a minimal interval (a, b) . By Lemma 3.2 (3), we can take $(a, b) = \hbar(\dots \hbar((0, 1)) \dots)$, where the number of the iterations is $n - 3$. Set $F = \{\sigma \in G_{\alpha, n} : \sigma((a, b)) = (a, b)\}$. Then, by the definition of standard basis, $F = \langle e_1, e_2 \rangle$. Since f commutes with every element of $G_{\alpha, n}$, $f(a, b)$ is still a minimal interval of $G_{\alpha, n}$. By the structure of the minimal interval, there exist $k_3, \dots, k_n \in \mathbb{Z}$ such that

$$f(a, b) = \hbar(\hbar(\dots \hbar((k_3, k_3 + 1) + k_4)) \dots) + k_{n-1}) + k_n.$$

Then $f e_3^{-k_3} \dots e_n^{-k_n}(a, b) = (a, b)$.

Let $g' = f e_3^{-k_3} \dots e_n^{-k_n}$. Define $g \in \text{Homeo}_+(\mathbb{R})$ by $g(x) = \hbar^{-(n-2)} g' \hbar^{n-2}(x)$. Then g commutes with L_1 and L_α . By Proposition 2.7, there exists some $\theta \in \mathbb{R} \setminus \{0\}$ such that $g = L_\theta$.

We claim that $g' = \hbar^{(n-2)}(g)$. By the choice of $(a, b) = \hbar(\dots \hbar((0, 1)) \dots)$, we have, for $x \in (a, b)$,

$$\hbar^{(n-2)}(g)(x) = \hbar(\hbar^{(n-3)}(g))(x) = \dots = g'(x).$$

For $x \in [a, b]$, it is obvious that $\hbar^{(n-2)}(g)(x) = g'(x)$. Now for any $x \in \mathbb{R} \setminus \mathbb{Z}$, there exist $j_3, \dots, j_n \in \mathbb{Z}$ such that $e_3^{j_3} \dots e_n^{j_n}(x) \in [a, b]$. Set $q = e_3^{j_3} \dots e_n^{j_n}$. Thus

$$g'(x) = q^{-1} g' q(x) = q^{-1} (\hbar^{(n-2)}(g)) q(x) = \hbar^{(n-2)}(g)(x).$$

The last equality follows by Lemma 3.1. As for $x \in \mathbb{Z}$, it is obvious that $g'(x) = \hbar^{(n-2)}(g)(x) = x$. Thus the claim follows.

Now it is clear that $\langle f, e_i, e_3, \dots, e_n \rangle = \langle g', e_i, e_3, \dots, e_n \rangle$, for $i \in \{1, 2\}$.

If θ is irrational, then $\langle f, e_1, e_3, \dots, e_n \rangle = \langle \hbar^{(n-2)}(L_\theta), e_1, e_3, \dots, e_n \rangle = G_{\theta, n}$ satisfies the requirements. If θ is rational, then $\langle f, e_2, e_3, \dots, e_n \rangle = \langle \hbar^{(n-2)}(L_\theta), e_2, e_3, \dots, e_n \rangle$ also satisfies the requirements. Indeed, in this case, the set of nontransitive points is

$$\mathbb{Z} \cup \bigcup_{i_1, \dots, i_{n-2} \in \mathbb{Z}} \{ \hbar(\hbar(\dots(\hbar(i_1) + i_2) \dots) + i_{n-3}) + i_{n-2} \},$$

which is countable. Hence $\langle f, e_2, e_3, \dots, e_n \rangle$ is almost minimal. Let $H = \langle f, e_2, e_3, \dots, e_n \rangle$ and suppose that F is topologically transitive subgroup of H . Note that $(a, b) := (\hbar^{(n-3)}(0), \hbar^{(n-3)}(1))$ is a minimal interval of H . Let $E = \{h \in H : h(a, b) = (a, b)\}$. Then $E = \langle f, e_2 \rangle$. By the topological transitivity of F , $(F \cap E)|(a, b)$ is also topologically transitive, whence $(F \cap E)|(a, b) \cong \mathbb{Z}^2$. For $i = 3, \dots, n$, the class modulo E of e_i is the unique element of H/E that maps (a, b) to another minimal interval $e_i(a, b)$. Thus $F/(F \cap E)$ contains the class modulo E of e_i , for $i = 3, \dots, n$. Hence $F \cong \mathbb{Z}^n$, which means that F is a subgroup of H of finite index. Therefore, H is tightly transitive. This completes the proof. \square

4 Construction and Properties of $G_{\alpha, n, k, g, f}$

Let integers $n \geq 2$ and $k \geq 1$. Let α be an irrational number in $(0, 1)$. Let $G_{\alpha, n}^s = \{g^s : g \in G_{\alpha, n}\}$, for $s \in \mathbb{N}^*$. Suppose $g \in G_{\alpha, n} \setminus \bigcup_{gcd(k, s) \neq 1} G_{\alpha, n}^s$. Put $x_j = e^{i2\pi j/k}$ for $j = 1, \dots, k$. Denote by (x_i, x_{i+1}) (resp. $[x_i, x_{i+1}]$) the open (resp. closed) interval from x_i to x_{i+1} anticlockwise. Fix an orientation preserving homeomorphism ϕ from \mathbb{R} to (x_1, x_2) . Then ϕ define a homomorphism:

$$\sim : \text{Homeo}_+(\mathbb{R}) \longrightarrow \text{Homeo}_+((x_1, x_2)), \quad \sigma \mapsto \tilde{\sigma} = \phi \sigma \phi^{-1}.$$

Let $f \in \text{Homeo}_+(\mathbb{S}^1)$ be such that

- $f(x_i) = x_{i+1}$, for $i = 1, \dots, k$.
- $f^k|_{(x_1, x_2)} = \tilde{g} : (x_1, x_2) \rightarrow (x_1, x_2)$.

In the above definition, we take $x_{k+1} = x_1$. In the reminder of the paper we take this convention as well. We denote the collection of such $f \in \text{Homeo}_+(\mathbb{S}^1)$ by $\text{Homeo}_+(\mathbb{S}^1)_{k, g}$.

Now we define a homomorphism:

$$- \text{ or } -^f : \text{Homeo}_+(\mathbb{R}) \longrightarrow \text{Homeo}_+(\mathbb{S}^1), \quad \sigma \mapsto \bar{\sigma}^f,$$

where $\bar{\sigma}^f$ is an orientation preserving homeomorphism of \mathbb{S}^1 defined by

$$\bar{\sigma}^f(x) = \begin{cases} f^{i-1} \tilde{\sigma} f^{-(i-1)}(x), & x \in (x_i, x_{i+1}), \quad i = 1, \dots, k, \\ x_i, & x = x_i, \quad i = 1, \dots, k. \end{cases} \quad (4.1)$$

We denote $\bar{\sigma}^f$ by $\bar{\sigma}$ for short when it is clear that σ is extended by f .

Remark 4.1 Note that f does not commute with $\bar{\sigma}^f$ in general. f commutes with $\bar{\sigma}^f$ if and only if σ commutes with g . In particular, $\bar{\sigma}^f$ commutes with f , for any $\sigma \in G_{\alpha, n}$.

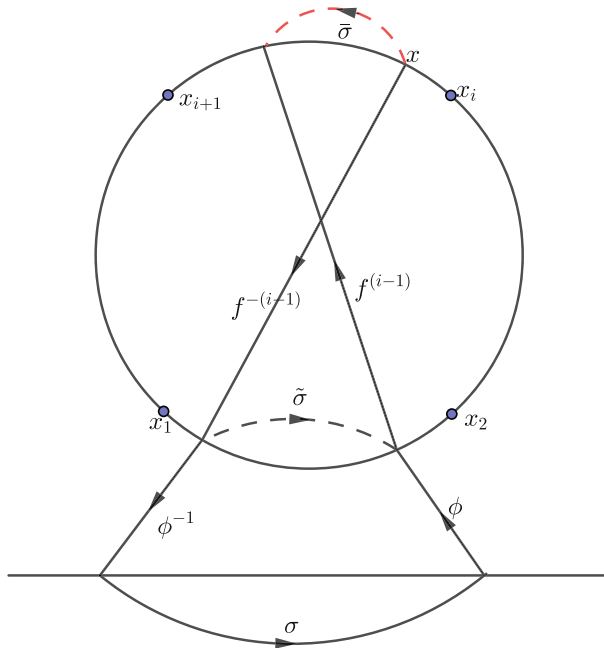


Fig. 2 Definition of $\bar{\sigma}^f$

Now we define $G_{\alpha,n,k,g,f}$ to be the subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ generated by $\{\bar{\sigma} : \sigma \in G_{\alpha,n}\} \cup \{f\}$ (Fig. 2).

By the above construction, we immediately have

Lemma 4.2 *The following assertions hold.*

- (1) Let $H = \{\varphi \in G_{\alpha,n,k,g,f} : \varphi((x_1, x_2)) = (x_1, x_2)\}$, then $H = \overline{G_{\alpha,n}} = \{\bar{\sigma} : \sigma \in G_{\alpha,n}\}$, $H|_{(x_1, x_2)} = \widetilde{G_{\alpha,n}} = \phi G_{\alpha,n} \phi^{-1}$ and $G_{\alpha,n,k,g,f}/H \cong \mathbb{Z}/k\mathbb{Z}$. Moreover,

$$G_{\alpha,n,k,g,f} = H \cup fH \dots \cup f^{k-1}H.$$

- (2) $G_{\alpha,n,k,g,f}$ is a tightly transitive and almost minimal subgroup of $\text{Homeo}_+(\mathbb{S}^1)$.
 (3) $G_{\alpha,n,k,g,f}$ is isomorphic to \mathbb{Z}^n .

Proof (1) is direct from the construction of $G_{\alpha,n,k,g,f}$. As for (2), it is clear that $G_{\alpha,n,k,g,f}$ is topologically transitive and almost minimal, since $G_{\alpha,n}$ is tightly transitive and almost minimal. For any topologically transitive subgroup F of $G_{\alpha,n,k,g,f}$, $(F \cap H)|_{(x_1, x_2)}$ is topologically transitive. By (1), $H|_{(x_1, x_2)}$ is tightly transitive. Thus $[H|_{(x_1, x_2)} : (F \cap H)|_{(x_1, x_2)}] < \infty$. Then $[G_{\alpha,n,k,g,f} : F] < \infty$, since $[G_{\alpha,n,k,g,f} : H] = k$. Therefore, $G_{\alpha,n,k,g,f}$ is tightly transitive.

It remains to show (3). Note that the possible torsion elements of $G_{\alpha,n,k,g,f}$ are of the form $f^j \bar{h}$ for some $h \in G_{\alpha,n}$ and $j = 1, \dots, k-1$. If it is a torsion element, then there exists a positive integer r such that $(f^j \bar{h})^{kr} = \text{id}$. Particularly,

$$(f^j \bar{h})^{kr}|_{(x_1, x_2)} = \widetilde{g^{jr} h^{kr}} = (\widetilde{g^j h^k})^r = \text{id}.$$

Then $(g^j h^k)^r = \text{id}$. Since $G_{\alpha,n}$ is torsion-free, we have $g^j = h^{-k} \in G_{\alpha,n}^k$. Since the group $\langle g, h \rangle$ is free and abelian, it is cyclic. Thus there exists $w \in G_{\alpha,n}$ such that $g = w^s, h = w^{-t}$

for some positive integers s, t . Then $sj = tk$. Since $1 \leq j \leq k-1$, we have $\gcd(s, k) \neq 1$. Therefore, $g \in \bigcup_{\gcd(k,s) \neq 1} G_{\alpha,n}^s$, which contradicts the choice of g .

Now we know that $G_{\alpha,n,k,g,f}$ is a finitely generated and torsion-free abelian group. This together with the facts that $G_{\alpha,n,k,g,f}/H \cong \mathbb{Z}/k\mathbb{Z}$ and $H \cong \mathbb{Z}^n$ imply that $G_{\alpha,n,k,g,f}$ is isomorphic to \mathbb{Z}^n . \square

Remark 4.3 In the above proof, we know that $G_{\alpha,n,k,g,f}$ is torsion free for $g \in G_{\alpha,n} \setminus \bigcup_{\gcd(k,s) \neq 1} G_{\alpha,n}^s$. Conversely, if $g \in G_{\alpha,n}^s$ with $\gcd(s, k) \neq 1$, then there exist torsion elements. Indeed, if $\gcd(s, k) = k_1 \neq 1$ (we write $k = k_1 k_2$ and $s = k_1 s_1$) and $g = w^s \in G_{\alpha,n}^s$, then $f^j h^{-1}$ with $j = k_2 s_2$ and $h = w^{s_1 s_2}$ is a torsion element for any integer s_2 .

5 Tightly Transitive Subgroups of $\text{Homeo}_+(\mathbb{S}^1)$

Suppose that G is a tightly transitive and almost minimal subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ which is isomorphic to \mathbb{Z}^n with $n \geq 2$. It follows from Proposition 2.5 that G has a finite orbit $\{x_1, \dots, x_k\}$ for some $k \geq 1$. We assume that x_1, \dots, x_k are on the circle in the anticlockwise ordering.

Proposition 5.1 *Let $H = \{g \in G : g(x_i) = x_i, 1 \leq i \leq k\}$. Then $G/H \cong \mathbb{Z}/k\mathbb{Z}$. Moreover, the restriction of H to (x_1, x_2) is tightly transitive and almost minimal.*

Proof Take an $f \in G$ such that $f(x_1) = x_2$. Since f is orientation preserving, we have $f(x_i) = x_{i+1}$ for each i . Thus $f^k(x_i) = x_i$ and $f^k \in H$.

For any $g \in G$, suppose that $g(x_1) = x_j$ for some $1 \leq j \leq k$. Then $f^{-(j-1)}g(x_1) = x_1$ and so $f^{-(j-1)}g(x_i) = x_i$ for each i . Thus $f^{-(j-1)}g \in H$, that is $g \in f^{(j-1)}H$. Therefore,

$$G/H \cong \mathbb{Z}/k\mathbb{Z}.$$

It is clear that the restriction of H to (x_1, x_2) must be topologically transitive and almost minimal. It remains to show it is tightly transitive.

If the restriction of H to (x_1, x_2) is not tightly transitive, then there is a subgroup F of H such that $F|_{(x_1, x_2)}$ is topologically transitive and $[H|_{(x_1, x_2)} : F|_{(x_1, x_2)}] = \infty$. We may as well assume that $F|_{(x_1, x_2)}$ is tightly transitive. By Lemma 2.10, F is almost minimal. Then, by Theorem 3.3 (2), $F|_{(x_1, x_2)}$ is conjugate to $G_{\alpha,m}$ for some irrational α and $m < n$.

There are two cases:

Case 1. $f^k|_{(x_1, x_2)} \in F|_{(x_1, x_2)}$. Then $\tilde{F} := \langle F, f \rangle$ is a topologically transitive subgroup of $\text{Homeo}_+(\mathbb{S}^1)$ and $[\tilde{F} : F] = k$. Hence $[G : \tilde{F}] = \infty$, since $[G : H] = k$ and $[H : F] = [H|_{(x_1, x_2)} : F|_{(x_1, x_2)}] = \infty$. We get a contradiction to the tight transitivity of G .

Case 2. $f^k|_{(x_1, x_2)} \notin F|_{(x_1, x_2)}$. Then, by Lemma 3.4, there is a subgroup F' of H such that $f^k \in F'$ and the restriction $F'|_{(x_1, x_2)}$ is tightly transitive, almost minimal and $F' \cong \mathbb{Z}^m$. Similar to Case 1, we get a contradiction again. \square

By Proposition 5.1 and Theorem 3.3, we see that no point in $\mathbb{S}^1 \setminus \{x_1, \dots, x_k\}$ has a finite G -orbit. So, we have

Corollary 5.2 $\{x_1, \dots, x_n\}$ is the unique finite G -orbit.

Theorem 5.3 *Let G be a tightly transitive and almost minimal subgroup of $\text{Homeo}_+(\mathbb{S}^1)$, which is isomorphic to \mathbb{Z}^n for some $n \geq 2$. Then G is topologically conjugate to some $G_{\alpha,n,k,g,f}$.*

Proof By Proposition 2.5, there exists a finite G -orbit x_1, \dots, x_k which lie on \mathbb{S}^1 in the anticlockwise ordering. WLOG, we may assume $x_j = e^{i2\pi j/k}$ for $j = 1, \dots, k$ as in Sect. 4, otherwise we need only replace G by some G' conjugating to it. Let

$$H = \{g \in G : g(x_i) = x_i, 1 \leq i \leq k\}.$$

Then $H|_{(x_1, x_2)}$ is tightly transitive, almost minimal and isomorphic to \mathbb{Z}^n by Proposition 5.1. Therefore, by Theorem 3.3, there exists an irrational $\alpha \in (0, 1)$ such that $H|_{(x_1, x_2)}$ is conjugate to $\widetilde{G_{\alpha, n}}$. Precisely, let $\phi \in \text{Homeo}_+(\mathbb{R}, (x_1, x_2))$ be as in the first paragraph of Sect. 4. Then there exists a $\psi \in \text{Homeo}_+(\mathbb{R})$ such that

$$\psi\phi^{-1}H|_{(x_1, x_2)}\phi\psi^{-1} = G_{\alpha, n}.$$

Let $f \in G$ be such that $f(x_1) = x_2$. Then $f^k|_{(x_1, x_2)} \in H|_{(x_1, x_2)}$. Let $g = \psi\phi^{-1}f^k|_{(x_1, x_2)}\phi\psi^{-1} \in G_{\alpha, n}$. By Remark 4.3, we have $g \notin \bigcup_{gcd(s, k) \neq 1} G_{\alpha, n}^s$, since G is torsion-free. Next we show that

$$\overline{\psi}G\overline{\psi}^{-1} = G_{\alpha, n, k, g, f}.$$

Note that

$$\overline{\psi}(x) = \begin{cases} f^{(i-1)}(\phi\psi\phi^{-1})f^{-(i-1)}(x), & x \in (x_i, x_{i+1}), \\ x_i, & x = x_i, \end{cases}$$

and $G = H \cup fH \cup \dots \cup f^{k-1}H$. For $x \in (x_i, x_{i+1})$ and $h \in H$,

$$\begin{aligned} \overline{\psi}h\overline{\psi}^{-1}(x) &= \left[f^{(i-1)}(\phi\psi\phi^{-1})f^{-(i-1)} \right] h \left[f^{(i-1)}(\phi\psi^{-1}\phi^{-1})f^{-(i-1)} \right] (x) \\ &= f^{(i-1)}(\phi\psi\phi^{-1})h|_{(x_1, x_2)}(\phi\psi^{-1}\phi^{-1})f^{-(i-1)}(x) \\ &= \overline{\psi\phi^{-1}h|_{(x_1, x_2)}\phi\psi^{-1}}(x) \end{aligned}$$

Since $\overline{\psi}h\overline{\psi}^{-1}(x_i) = x_i$, we conclude that $\overline{\psi}h\overline{\psi}^{-1} \in G_{\alpha, n, k, g, f}$. It is clear that $\overline{\psi}f\overline{\psi}^{-1} = f$. Thus $\overline{\psi}G\overline{\psi}^{-1} \subseteq G_{\alpha, n, k, g, f}$. It is similar for the converse direction. Thus

$$\overline{\psi}G\overline{\psi}^{-1} = G_{\alpha, n, k, g, f},$$

which means that G is topologically conjugate to some $G_{\alpha, n, k, g, f}$. \square

6 Classification of $G_{\alpha, n, k, g, f}$

Theorem 5.3 indicates that, in order to determine all the conjugation classes of the concerned systems, we need only classify the groups $G_{\alpha, n, k, g, f}$ defined in Sect. 4.

Lemma 6.1 *Let $n, k \in \mathbb{Z}$ with $n \geq 2$ and $k \geq 1$, α be an irrational in $(0, 1)$ and $g \in G_{\alpha, n}$. Then, for any $f, f' \in \text{Homeo}_+(\mathbb{S}^1)_{k, g}$, $G_{\alpha, n, k, g, f}$ is topologically conjugate to $G_{\alpha, n, k, g, f'}$.*

Proof Define $\psi \in \text{Homeo}_+(\mathbb{S}^1)$ by

$$\psi(x) = \begin{cases} f^{(i-1)}f'^{-(i-1)}(x), & x \in (x_i, x_{i+1}), \quad i = 1, \dots, k; \\ x, & x = x_i, \quad i = 1, \dots, k. \end{cases}$$

For any $\sigma \in G_{\alpha,n}$, recall that $\bar{\sigma}^f \in G_{\alpha,n,k,g,f}$ and $\bar{\sigma}^{f'} \in G_{\alpha,n,k,g,f'}$ are defined by (4.1). So, for $x \in (x_i, x_{i+1})$,

$$\begin{aligned}\psi \bar{\sigma}^{f'} \psi^{-1}(x) &= f^{(i-1)} f'^{(i-1)} f'^{(i-1)} \tilde{\sigma} f'^{(i-1)} f'^{(i-1)} f^{-(i-1)}(x) \\ &= f^{(i-1)} \tilde{\sigma} f^{-(i-1)}(x) \\ &= \bar{\sigma}^f(x).\end{aligned}$$

It is obvious that $\psi \bar{\sigma}^{f'} \psi^{-1}(x_i) = \bar{\sigma}^{f'}(x_i) = x_i$. Hence $\psi \bar{\sigma}^{f'} \psi^{-1} = \bar{\sigma}^f$.

In addition, if $x \in (x_i, x_{i+1})$ with $1 \leq i \leq k-1$, then

$$\psi f'(x) = f^i f'^{-i} f'(x) = f^i f'^{-i+1}(x) = f f'^{-1} f'^{(i-1)}(x) = f \psi(x);$$

if $x \in (x_k, x_1)$, then

$$\psi f'(x) = f'^k f'^{-(k-1)}(x) = \tilde{g} f'^{-(k-1)}(x) = f^k f'^{-(k-1)}(x) = f \psi(x).$$

Altogether, we have

$$\psi G_{\alpha,n,k,g,f'} \psi^{-1} = G_{\alpha,n,k,g,f}.$$

That is to say that $G_{\alpha,n,k,g,f}$ is topologically conjugate to $G_{\alpha,n,k,g,f'}$. \square

Lemma 6.2 *If $G_{\alpha,n,k,g,f}$ is topologically conjugate to $G_{\alpha',n',k',g',f'}$, then $k = k'$, $n = n'$ and α is equivalent to α' .*

Proof $n = n'$ is clear; $k = k'$ follows from the fact that all finite orbits of a group of circle homeomorphisms have the same cardinality (Lemma 2.2); α being equivalent to α' follows from Theorem 3.3 and the definition of $G_{\alpha,n,k,g,f}$. \square

Let $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$ denote the normalizer of $G_{\alpha,n}$ in $\text{Homeo}_+(\mathbb{R})$, i.e., $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n}) = \{\varphi \in \text{Homeo}_+(\mathbb{R}) : \varphi G_{\alpha,n} \varphi^{-1} = G_{\alpha,n}\}$. Thus we get an affine action on $G_{\alpha,n}$ by the semidirect $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n}) \ltimes G_{\alpha,n}^k$: $(\varphi, f) \cdot g := \varphi g \varphi^{-1} f$, for any $(\varphi, f) \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n}) \ltimes G_{\alpha,n}^k$ and $g \in G_{\alpha,n}$.

Lemma 6.3 *$G_{\alpha,n,k,g,f}$ is topologically conjugate to $G_{\alpha,n,k,g',f'}$ if and only if g and g' are in the same orbit of the affine action on $G_{\alpha,n}$ by $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n}) \ltimes G_{\alpha,n}^k$.*

Proof *Sufficiency.* Suppose that g and g' are in the same orbit of the affine action on $G_{\alpha,n}$ by $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n}) \ltimes G_{\alpha,n}^k$. Then there exist some $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$ and $h_0 \in G_{\alpha,n}$ such that

$$g' = \varphi g \varphi^{-1} h_0^k = \varphi g (\varphi^{-1} h_0^k \varphi) \varphi^{-1}.$$

Since $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$, $\varphi^{-1} h_0^k \varphi \in G_{\alpha,n}$. Set $h = \varphi^{-1} h_0 \varphi$. Thus $g' = \varphi g h^k \varphi^{-1}$.

Let $\{x_1, \dots, x_k\}$ and $\phi : \mathbb{R} \rightarrow (x_1, x_2)$ be defined as in Sect. 4. Then $\phi \varphi \phi^{-1} \in \text{Homeo}_+((x_1, x_2))$.

We show two special cases firstly.

Claim 1. If $g' = g h^k$, then $G_{\alpha,n,k,g,f} = G_{\alpha,n,k,g',f''}$ with $f'' = f \bar{h}^f$, where the definition of \bar{h}^f can consult (4.1). Thus $G_{\alpha,n,k,g',f'}$ is conjugate to $G_{\alpha,n,k,g',f''}$ by Lemma 6.1.

Indeed, let σ be in $G_{\alpha,n}$. For any $x \in (x_i, x_{i+1})$, $1 \leq i \leq k$,

$$\begin{aligned}\bar{\sigma}^{f''}(x) &= f^{(i-1)} (\bar{h}^f)^{(i-1)} \tilde{\sigma} (\bar{h}^f)^{-(i-1)} f^{-(i-1)}(x) \\ &= f^{(i-1)} \tilde{h}^{(i-1)} \tilde{\sigma} \tilde{h}^{-(i-1)} f^{-(i-1)}(x) \\ &= f^{(i-1)} \tilde{\sigma} f^{-(i-1)}(x) \\ &= \bar{\sigma}^f(x).\end{aligned}$$

It is obvious that $\bar{\sigma}^{f''}(x_i) = x_i = \bar{\sigma}^f(x_i)$. Thus $\bar{\sigma}^{f''} = \bar{\sigma}^f$. Note that

$$G_{\alpha,n,k,g,f} = \langle \{\bar{\sigma}^f : \sigma \in G_{\alpha,n}\} \cup \{f\} \rangle,$$

and

$$G_{\alpha,n,k,g',f''} = \langle \{\bar{\sigma}^{f''} : \sigma \in G_{\alpha,n}\} \cup \{f''\} \rangle.$$

In addition, $f'' = f\bar{h}^f \in G_{\alpha,n,k,g,f}$ and $f = f''(\bar{h}^f)^{-1} = f''(\bar{h}^{f''})^{-1} \in G_{\alpha,n,k,g',f''}$. Therefore,

$$G_{\alpha,n,k,g,f} = G_{\alpha,n,k,gh^k,f''}.$$

Claim 2. If $g' = \varphi g \varphi^{-1}$ with $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$, then $G_{\alpha,n,k,g,f}$ is conjugate to $G_{\alpha,n,k,g',f'}$ by $\Phi := \overline{\varphi^{-1}}^f$, where $f' = \Phi f \Phi^{-1} \in \text{Homeo}_+(\mathbb{S}^1)$.

Indeed, let $\sigma \in G_{\alpha,n}$. For $x \in (x_i, x_{i+1})$ with $1 \leq i \leq k$,

$$\begin{aligned} \bar{\sigma}^{f'}(x) &= f'^{(i-1)} \tilde{\sigma} f'^{-(i-1)}(x) \\ &= \Phi f^{(i-1)} \Phi^{-1} \tilde{\sigma} \Phi f^{-(i-1)} \Phi^{-1}(x) \\ &= \Phi f^{(i-1)} \widetilde{\varphi \sigma \varphi^{-1}} f^{-(i-1)} \Phi^{-1}(x) \\ &= \Phi (\overline{\varphi \sigma \varphi^{-1}})^f \Phi^{-1}(x). \end{aligned}$$

It is obvious that $\bar{\sigma}^{f'}(x_i) = \overline{\Phi(\varphi \sigma \varphi^{-1})}^f \Phi^{-1}(x_i) = x_i$ for any $1 \leq i \leq k$. Hence

$$\bar{\sigma}^{f'} = \Phi (\overline{\varphi \sigma \varphi^{-1}})^f \Phi^{-1}.$$

Since $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$, we have $\overline{(\varphi \sigma \varphi^{-1})}^f \in G_{\alpha,n,k,g,f}$. Thus

$$G_{\alpha,n,k,g,f} = \langle \{(\overline{\varphi \sigma \varphi^{-1}})^f : \sigma \in G_{\alpha,n}\} \cup \{f\} \rangle,$$

Hence $G_{\alpha,n,k,g',f'} = \Phi G_{\alpha,n,k,g,f} \Phi^{-1}$ (Fig. 3).

For general case, i.e. $g' = \varphi g h^k \varphi^{-1}$, we combine Claims 1 and 2 in order to obtain that $G_{\alpha,n,k,g,f}$ is conjugate to $G_{\alpha,n,k,g',f'}$. Precisely, by Claim 1, we have $G_{\alpha,n,k,g,f} = G_{\alpha,n,k,gh^k,f\bar{h}^f}$. Then, by Claim 2, $G_{\alpha,n,k,gh^k,f\bar{h}^f}$ is conjugate to

$G_{\alpha,n,k,\varphi gh^k \varphi^{-1},(\overline{\varphi^{-1}}^f \bar{h}^f) f \bar{h}^f (\overline{\varphi}^f \bar{h}^f)}$ by $\overline{\varphi^{-1}}^f \bar{h}^f$. By Lemma 6.1, $G_{\alpha,n,k,\varphi gh^k \varphi^{-1},(\overline{\varphi^{-1}}^f \bar{h}^f) f \bar{h}^f (\overline{\varphi}^f \bar{h}^f)}$ is conjugate to $G_{\alpha,n,k,g',f'}$. Hence $G_{\alpha,n,k,g,f}$ is conjugate to $G_{\alpha,n,k,g',f'}$.

Necessity. Suppose that $G_{\alpha,n,k,g,f}$ is topologically conjugate to $G_{\alpha,n,k,g',f'}$. Then there exists $\psi \in \text{Homeo}_+(\mathbb{S}^1)$ such that

$$\psi G_{\alpha,n,k,g,f} \psi^{-1} = G_{\alpha,n,k,g',f'}.$$

Note that the set $\{x_1, \dots, x_k\}$ is ψ -invariant, since it represents the unique periodic orbit of both groups. Moreover, ψ being orientation preserving, if $\psi(x_1) = x_1$, then $\psi(x_i) = x_i$ for all $i = 1, \dots, k$. We may assume that $\psi(x_i) = x_i$ for any $1 \leq i \leq k$ whence $\psi((x_i, x_{i+1})) = (x_i, x_{i+1})$. Otherwise, suppose that $\psi(x_1) = x_j$ for some integer j with $1 \leq j \leq k$. It is clear that $G_{\alpha,n,k,g,f} = f^{-(j-1)} G_{\alpha,n,k,g,f} f^{j-1}$. Thus

$$\psi f^{-(j-1)} G_{\alpha,n,k,g,f} f^{j-1} \psi^{-1} = G_{\alpha,n,k,g',f'}.$$

Then $\psi f^{-(j-1)}$ satisfies the condition that $\psi f^{-(j-1)}(x_i) = x_i$ for any $1 \leq i \leq k$.

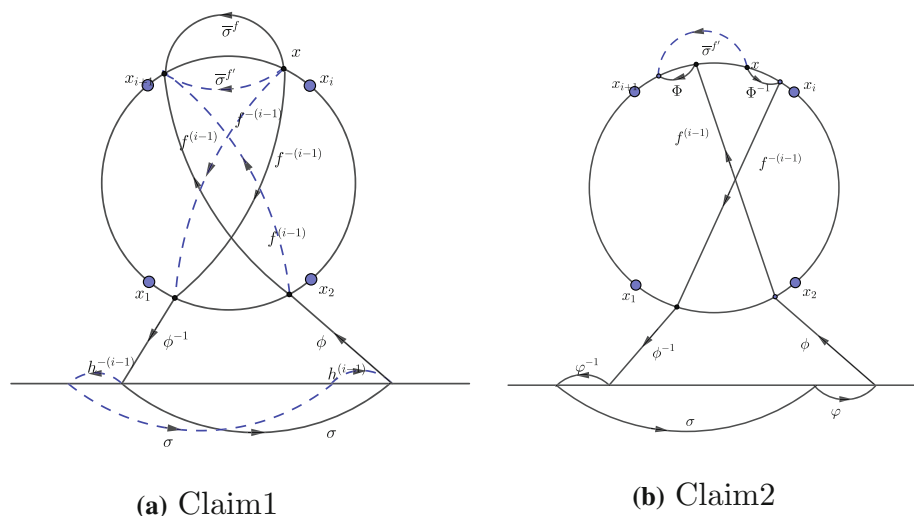


Fig. 3 Lemma 6.3

Let $H = \{q \in G_{\alpha,n,k,g,f} : q(x_i) = x_i, i = 1, \dots, k\} = \{\bar{h}^f : h \in G_{\alpha,n}\}$. Then

$$fH = \{q \in G_{\alpha,n,k,g,f} : q(x_i) = x_{i+1}, i = 1, \dots, k\}.$$

One has $\psi^{-1}f'\psi(x_i) = x_{i+1}$, for each $i = 1, \dots, k$. Thus $\psi^{-1}f'\psi \in fH$, that is there exists an $h \in G_{\alpha,n}$ such that $\psi f \bar{h}^f \psi^{-1} = f'$. Thus

$$\psi \widetilde{gh^k} \psi^{-1} = \left(\psi (f \bar{h}^f)^k \psi^{-1} \right) |_{(x_1, x_2)} = f'^k |_{(x_1, x_2)} = \tilde{g}'.$$

Let ϕ be the orientation preserving homeomorphism from \mathbb{R} to (x_1, x_2) , fixed in Sect. 4. Thus

$$\psi \phi g h^k \phi^{-1} \psi^{-1} = \phi g' \phi^{-1}.$$

Let $\varphi = \phi^{-1} \psi \phi$. Then $\varphi g h^k \varphi^{-1} = g'$.

It remains to show $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$. It is obvious that $\varphi \in \text{Homeo}_+(\mathbb{R})$. For any $\sigma \in G_{\alpha,n}$,

$$\varphi \sigma \varphi^{-1} = \phi^{-1} \psi \tilde{\sigma} \psi^{-1} \phi.$$

Note that $\psi|_{(x_1, x_2)}$ conjugates $G_{\alpha,n,k,g,f}|_{(x_1, x_2)}$ to $G_{\alpha,n,k,g',f'}|_{(x_1, x_2)}$, and they both coincide with $G_{\alpha,n}$. Thus $\psi(G_{\alpha,n})\psi^{-1} = G_{\alpha,n}$, i.e., $\psi \phi G_{\alpha,n} \phi^{-1} \psi^{-1} = \phi G_{\alpha,n} \phi^{-1}$. Hence $\phi^{-1} \psi \phi G_{\alpha,n} \phi^{-1} \psi^{-1} \phi = G_{\alpha,n}$. Therefore, $\varphi = \phi^{-1} \psi \phi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$. \square

Define

$$\text{Conj}(G_{\alpha,n}, G_{\alpha',n}) = \{\psi \in \text{Homeo}_+(\mathbb{R}) : G_{\alpha,n} = \psi G_{\alpha',n} \psi^{-1}\}.$$

If α and α' are equivalent, then $\text{Conj}(G_{\alpha,n}, G_{\alpha',n}) \neq \emptyset$ by Theorem 3.3; and we fix a conjugation $\psi_{\alpha,\alpha'} \in \text{Conj}(G_{\alpha,n}, G_{\alpha',n})$.

Analogous arguments to the Claim 2 in the proof of Lemma 6.2, allow to construct a conjugation Ψ of $G_{\alpha,n,k,g,f}$ to $G_{\alpha',n,k,g',f'}$, with $g' = \psi_{\alpha,\alpha'} g \psi_{\alpha,\alpha'}^{-1}$ and $f' = \Psi f \Psi^{-1}$. Finally, by the above lemmas, we obtain

Theorem 6.4 $G_{\alpha,n,k,g,f}$ is topologically conjugate to $G_{\alpha',n',k',g',f'}$ if and only if

- $n = n'$ and $k = k'$;
- α is equivalent to α' , i.e. there exist $m_1, n_1, m_2, n_2 \in \mathbb{Z}$ with $|m_1 n_2 - n_1 m_2| = 1$ such that $\alpha' = \frac{m_1 + n_1 \alpha}{m_2 + n_2 \alpha}$;
- g and $\psi_{\alpha,\alpha'} g' \psi_{\alpha,\alpha'}^{-1}$ are in the same orbit of the affine action on $G_{\alpha,n}$ by $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n}) \ltimes G_{\alpha,n}^k$, i.e. there exist some $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$ and $h \in G_{\alpha,n}$ such that $\psi_{\alpha,\alpha'} g' \psi_{\alpha,\alpha'}^{-1} = \varphi g h^k \varphi^{-1}$.

7 Matrix Representation of $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$

In this section, we want to restate Theorem 6.4 in terms of matrix, with respect to the standard basis $\{e_1, \dots, e_n\}$ of $G_{\alpha,n}$ defined as in Sect. 3. This will make us easier to determine whether two systems $G_{\alpha,n,k,g,f}$, $G_{\alpha',n',k',g',f'}$ are conjugate.

From Theorem 3.3-(1), we see that if α and β are equivalent irrationals in $(0, 1)$, then $G_{\alpha,n}$ and $G_{\beta,n}$ are conjugate. Now suppose that $\alpha = \frac{m_1 + n_1 \beta}{m_2 + n_2 \beta}$ for some integers m_1, n_1, m_2, n_2 with $|m_1 n_2 - n_1 m_2| = 1$. We will define a sequence of conjugations ϕ_n between $G_{\alpha,n}$ and $G_{\beta,n}$ for every $n \geq 2$. When $n = 2$, the conjugation ϕ_2 between $G_{\alpha,2}$ and $G_{\beta,2}$ can be taken as a multiplication $M_u : \mathbb{R} \rightarrow \mathbb{R}, x \mapsto ux$, where $u = |m_2 + n_2 \beta|$ (see [14, Lemma 3.3]). More precisely, we may assume that $m_2 + n_2 \beta > 0$, otherwise we can replay m_1, m_2, n_1, n_2 by $-m_1, -m_2, -n_1, -n_2$ respectively. Then

$$M_u L_1 M_u^{-1} = L_u = L_1^{m_2} L_\beta^{n_2}, \text{ and } M_u L_\alpha M_u^{-1} = L_{\alpha u} = L_1^{m_1} L_\beta^{n_1}. \quad (7.1)$$

Since $|m_1 n_2 - n_1 m_2| = 1$, we have

$$\mathbb{Z}^2 \cong G_{\beta,2} = \langle L_1, L_\beta \rangle = \langle L_u, L_{\alpha u} \rangle.$$

Therefore, $M_u G_{\alpha,2} M_u^{-1} = G_{\beta,2}$. Fix standard basis $\{L_1, L_\alpha\}$, $\{L_1, L_\beta\}$ of $G_{\alpha,2}$ and $G_{\beta,2}$ respectively. Then, by 7.1, the conjugation by $\phi_2 = M_u$ can be represented by matrix

$$\begin{pmatrix} m_2 & m_1 \\ n_2 & n_1 \end{pmatrix}.$$

More precisely, under the standard basis $\{L_1, L_\alpha\}$ of \mathbb{Z} -module $G_{\alpha,2}$, an element $g = L_1^{x_\alpha} L_\alpha^{y_\alpha} \in G_{\alpha,2}$ is represented by $\begin{pmatrix} x_\alpha \\ y_\alpha \end{pmatrix}$. Then the coordinate of $\phi_2 g \phi_2^{-1} = L_1^{x_\beta} L_\beta^{y_\beta}$ under the basis $\{L_1, L_\beta\}$ is

$$\begin{pmatrix} x_\beta \\ y_\beta \end{pmatrix} = \begin{pmatrix} m_2 & m_1 \\ n_2 & n_1 \end{pmatrix} \begin{pmatrix} x_\alpha \\ y_\alpha \end{pmatrix}.$$

Now for $n \geq 3$, $\phi_n := \hbar^{(n-2)}(\phi_2)$ is a conjugation between $G_{\alpha,n}$ and $G_{\beta,n}$ by [14, Theorem 3.4]. More precisely,

$$\begin{aligned} \phi_n \hbar^{(n-2)}(L_1) \phi_n^{-1} &= \hbar^{(n-2)}(\phi_2) \hbar^{(n-2)}(L_1) \hbar^{(n-2)}(\phi_2^{-1}) \\ &= \hbar^{(n-2)}(\phi_2 L_1 \phi_2^{-1}) \quad (\text{by Lemma 3.1}) \\ &= \hbar^{(n-2)}(L_1^{m_2} L_\beta^{n_2}) \quad (\text{by 7.1}) \\ &= \left(\hbar^{(n-2)}(L_1) \right)^{m_2} \left(\hbar^{(n-2)}(L_\beta) \right)^{n_2}, \end{aligned}$$

and

$$\begin{aligned}\phi_n \hbar^{(n-2)}(L_\alpha) \phi_n^{-1} &= \hbar^{(n-2)}(\phi_2) \hbar^{(n-2)}(L_\alpha) \hbar^{(n-2)}(\phi_2^{-1}) \\ &= \hbar^{(n-2)}(\phi_2 L_\alpha \phi_2^{-1}) \\ &= \hbar^{(n-2)}(L_1^{m_1} L_\beta^{n_1}) \\ &= \left(\hbar^{(n-2)}(L_1) \right)^{m_1} \left(\hbar^{(n-2)}(L_\beta) \right)^{n_1}.\end{aligned}$$

For $j = 0, 1, \dots, n-3$,

$$\begin{aligned}\phi_n \hbar^{(j)}(L_1) \phi_n^{-1} &= \hbar^{(n-2)}(\phi_2) \hbar^{(j)}(L_1) \hbar^{(n-2)}(\phi_2^{-1}) \\ &= \hbar^{(j)} \left(\hbar^{(n-2-j)}(\phi_2) L_1 \hbar^{(n-2-j)}(\phi_2^{-1}) \right) \\ &= \hbar^{(j)}(L_1). \text{ (by Lemma 3.1)}\end{aligned}$$

Therefore, under the standard bases $\{\hbar^{(n-2)}(L_1), \hbar^{(n-2)}(L_\alpha), \hbar^{(n-3)}(L_1), \dots, \hbar^{(1)}(L_1), L_1\}$ and $\{\hbar^{(n-2)}(L_1), \hbar^{(n-2)}(L_\beta), \hbar^{(n-3)}(L_1), \dots, \hbar^{(1)}(L_1), L_1\}$ of $G_{\alpha,n}$ and $G_{\beta,n}$ respectively, ϕ_n can be represented by matrix

$$\tilde{A}_{\alpha,\beta} = \begin{pmatrix} A_{\alpha,\beta} & O \\ O & I \end{pmatrix},$$

where $A_{\alpha,\beta} = \begin{pmatrix} m_2 & m_1 \\ n_2 & n_1 \end{pmatrix} \in GL(2, \mathbb{Z})$ and I is the identity matrix of rank $n-2$. We call ϕ_n so defined the *standard conjugation* between $G_{\alpha,n}$ and $G_{\beta,n}$.

Now, we want to determine the matrix representation of the group $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$ with respect to the standard basis $\{e_1, \dots, e_n\}$.

If $\varphi \in \text{Homeo}_+(\mathbb{R})$ such that $\varphi G_{\alpha,2} \varphi^{-1} = G_{\alpha,2}$, then there are integers $m_1, n_1, m_2, n_2 \in \mathbb{Z}$ with $|m_1 n_2 - n_1 m_2| = 1$ such that $L_1^{m_1} L_\alpha^{n_1} = \varphi L_\alpha \varphi^{-1}$, $L_1^{m_2} L_\alpha^{n_2} = \varphi L_1 \varphi^{-1}$, and $\alpha = \frac{m_1 + n_1 \alpha}{m_2 + n_2 \alpha}$ (See [14] Lemma 3.3). So, the matrix representation of φ belongs to the following group

$$F_\alpha := \left\{ \begin{pmatrix} m_2 & m_1 \\ n_2 & n_1 \end{pmatrix} \in GL(2, \mathbb{Z}) : \alpha = \frac{m_1 + n_1 \alpha}{m_2 + n_2 \alpha} \right\}.$$

Thus by the definition of $G_{\alpha,n}$, we see that each element in $N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$ has the matrix representation:

$$\tilde{B}_\alpha = \begin{pmatrix} f_\alpha & * \\ O & B \end{pmatrix} \in GL(n, \mathbb{Z}),$$

where B is an $(n-2) \times (n-2)$ upper triangular matrix with diagonals 1 and $f_\alpha \in F_\alpha$. Here we remark that if α is not an algebraic number of degree 2 over \mathbb{Q} , then F_α is trivial. Conversely, given a matrix of the form \tilde{B}_α as above, then there is a $\varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})$ whose matrix representation is \tilde{B}_α by the construction process as in [14].

Moreover, it is clear that

$$\text{Conj}(G_{\alpha,n}, G_{\alpha',n}) = \{\varphi \circ \psi_{\alpha,\alpha'} : \varphi \in N_{\text{Homeo}_+(\mathbb{R})}(G_{\alpha,n})\},$$

where $\psi_{\alpha,\alpha'} \in \text{Conj}(G_{\alpha,n}, G_{\alpha',n})$. So the matrix representation of each element in $\text{Conj}(G_{\alpha,n}, G_{\alpha',n})$ has the form: $\widetilde{B_\alpha A_{\alpha,\alpha'}}$.

Altogether, we get a restatement of Theorem 6.4.

Theorem 7.1 $G_{\alpha,n,k,g,f}$ is topologically conjugate to $G_{\alpha',n',k',g',f'}$ if and only if

- $n = n'$ and $k = k'$;
- there exists

$$A_{\alpha, \alpha'} = \begin{pmatrix} m_2 & m_1 \\ n_2 & n_1 \end{pmatrix} \in GL(2, \mathbb{Z}),$$

an upper triangular matrix $B \in GL(n-2, \mathbb{Z})$ with diagonals 1, and $\vec{w} \in k\mathbb{Z}^n$ such that

$$\alpha' = \frac{m_1 + n_1 \alpha}{m_2 + n_2 \alpha},$$

and

$$\vec{v} = \widetilde{B_\alpha A_{\alpha, \alpha'}} \vec{u} + \vec{w},$$

where \vec{u}, \vec{v} are vectors in \mathbb{Z}^n corresponding to g and g' respectively.

Acknowledgements We are grateful to the referee for his comments, corrections and helpful suggestions.

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